FORD PTO 1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER P32047 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	
TITLE OF INVENTION NOVEL COMPOUNDS			
APPLICANT(S) FOR DO/EO/US Steven COULTON, Riccardo Robert William WARD	NOVELLI, Roderick Alan F	PORTER, Mervyn THOMPSON and	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- [x] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [x] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S. C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R.
 3.28 and 3.31 is included.
- 13. [x] A FIRST preliminary amendment.
- 14. [] A SECOND or SUBSEQUENT preliminary amendment.
- 15. [x] Please amend the specification by inserting before the first line the sentence: This is a 371 of International Application PCT/EP99/05584, filed 3 August 1999, which claims benefit from the following Great Britain Application: GB 9817028.5, filed 5 August 1998.
- ... [] A substitute specification.
 - 17. [] A change of power of attorney and/or address letter.
 - 18. [x] An Abstract on a separate sheet of paper.
 - 19. [] Other items or information:

US APPLICATIO	N NO. (if known see 37 C	FR 1.50) INTERNATION PCT/EP99	VAL APPLICATION NO. 105584	ATTORNEYS DOCKET NO. P32047		
20. [X] The	following fees are sub	CALCULATIONS	PTO USE ONLY			
		C.F.R. 1.492(a)(1)-(5))				
	port has been prepared	· ·				
		nation Fee paid to USP	\$690.00			
No Interna	tional Preliminary Ex	amination Fee paid to t	JSPTO (37 CFR 1.482)			
		to USPTO (37 CFR 1.4				
Neither Int	crnational Preliminar	y Examination Fee (37	\$710.00			
internation	al search fee (37 CFR	. 1.445(a)(2)) naid to II:	SPTO\$1,000.00			
Internation	al Preliminary Exami	nation Fee paid to USP	TO (37 CFR 1.482) and			
all claims s	satisfied provisions of	PCT Article 33(2)-(4).	\$100.00			
***	ENTE	R APPROPRIATE BA	SIC FEE AMOUNT =	\$860.00		
months from the	e earliest claimed price	he oath or declaration I ority date (37 CFR 1.49)	ater than 20 30 30 2(e)).	\$0.00		
Claims	Number Filed	Number Extra	Rate		•	
Total claims	7 - 20 =	0	0 x \$18.00	\$0.00		
Independent claims	1 - 3 =	0	0 x \$80.00	\$0.00		
Multiple depend	lent claims (if applica	ble)	+ \$270.00	\$0.00		
TOTAL OF ABOVE CALCULATIONS =				\$860.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$			
			SUBTOTAL =	\$860.00		
Processing fcc of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +			\$			
TOTAL NATIONAL FEE =				\$860.00		
		. —		Amount to be refunded	\$	
•			e fccs is enclosed.	charged	\$	

b. Please charge my Deposit Account No. 19-2570 in the amount of \$860.00 to cover the above fees.

A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570. A duplicate copy of this sheet is enclosed.

d. General Authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extension of time relating to this application (37 CFR 1.136 (a)(3)).

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

GLAXOSMITHKLINE

Corporate Intellectual Property - UW2220

P.O. Box 1539

King of Prussia, PA 19406-0939

Phone (610) 270-5016.

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Linda E. Hall

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DOCKET No. <u>P32047</u> Date Mailed 2/5/01 MAILING: CERTIFICATE/ EXPRESS MAIL# U.S. Serial No. .: Filing Date: Int App. No.: PCT | EP99 | OSS84 Int Filing Date: 03-Aug - 1999 RECEIPT IS ACKNOWLEDGED FOR THE FOLLOWING: ☐ Appln. Trans. (+ 1 copy) for: ☐ Provisional ☐ CIP ☐ Statement to Support Filing ☐ Utility/Continuation ☐ CPA ☐ RCE ☐ Divisional ☐ Copy of Notice to Comply ☐ Specification ____ pages Z Abstract ↓ pgs
☐ Dec. & Power of Atty ___ pages ()
☐ Drawings ____ Sheet(s)/Figs___ (o ____ ☐ Diskette ☐ Appeal Brief _ Petition ___ pgs. ☐ Assignment ___ pages & Recordation Cover Sheet ☐ Status Request Trans. Lir Natl Stage Entry (3pgs.) † 1 Copy

Information Disclosure Statement ☐ Trans. Nat1 Stage (2nd sub) Resp. to Written Opinion ☐ Form PTO-1449 ___ pgs. & ___ References
☐ Amendment ☐ Response ____ pages ☐ Priority Document ☐ Amendment ☐ Response ______pages
☐ Petition for Extension of Time plus 2 copies ☐ Notice of Appeal/Brief ☐ Resp. to Rest. Req. __ pgs. ☐ Issue Fee Trans. (Part B) + 1 copy Req. to Correct Filing Recpt Copy of Notice to File Missing Parts ☐ Copy of Filing Receipt Authorization to Charge Dep. Acct. # 19-2570
Preliminary Amendment Correct Defects ____ pages

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